IAP10 Rec'd PCT/PTO 19 DEC 2005

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| FORM PTO-1390 (REV. 01-2003) | | | | ATTORNEY'S DOCKET NUMBER 125640 | | | | | |
| | | ANSMITTAL LETTER TO T | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | |
| | | ESIGNATED/ELECTED OF ONCERNING A FILING UN | 10/553,675 | | | | | | |
| INITE | | IONAL APPLICATION NO. | PRIORITY DATE CLAIMED | | | | | | |
| | | 04/005446 | April 17, 2003 | | | | | | |
| TITLE OF INVENTION POROUS UNDERLAYER COATING AND UNDERLAYER COATING FORMING COMPOSITION FOR FORMING POROUS UNDERLAYER COATING | | | | | | | | | |
| APPLICANTS FOR DO/EO/US Satoshi TAKEI et al. | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. | | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | | | |
| 5. | | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. has been communicated by the International Bureau. | | | | | | | |
| , | | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | a. is attached hereto. | | | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| | | c. | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. | | | | | | | |
| | | c. \square have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| | | d. have not been made and will not be made. | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Items | s 11 t | o 20 below concern document(s) | or information included: | • | | | | | |
| 11. | \boxtimes | An Information Disclosure Stateme | ent under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. | | A preliminary amendment. | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. | | A substitute specification. | | | | | | | |
| 16. | \boxtimes | A power of attorney and/or change | of address letter. | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. | \boxtimes | Transmittal of Power of Attorney and Statement. | | | | | | | |
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| U.S. APPLICATION NO. (if known, s 10/553,675 | ' 1 | INTERNATIONAL APPLICAT | TION NO. | ATTORNEY'S DOCKET NUMBER 125640 | | | | | | |
|--|---|------------------------|-------------------|---------------------------------|----|--|--|--|--|--|
| 21. The following fees | | | CALCULATIONS | PTO USE ONLY | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| BASIC NATIONAL FEE (37 | \$ | | | | | | | | | |
| SEARCH FEE (37 CFR 1.49 | \$ | | | | | | | | | |
| International preliminary exa the USPTO as IPEA or ISA industrial applicability for all national stage | and favorable as to claims presented in | | | | | | | | | |
| International search fee (37 | CFR 1.445(a)(2)) pa | | | | | | | | | |
| International search report p the search fee is paid | | | | | | | | | | |
| All situations not provided fo | | | | | | | | | | |
| EXAMINATION FEE (37 CF | \$ | | | | | | | | | |
| | | | | | | | | | | |
| International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage | | | | | | | | | | |
| All situations not provided fo | r above | | \$ 200.00 | | | | | | | |
| Surcharge of \$130.00 for fur earliest claimed priority date | nishing the oath or on (37 CFR 1.492(e)). | \$ | | | | | | | | |
| TOTAL PAGES OF APPLICATION OVER 100 (- 100) | ÷ 50 | = † | x 250 = | \$ | | | | | | |
| †round up to next integer | | | | | | | | | | |
| CLAIMS TOTAL CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE x 50.00 = | \$ | 1 | | | | | |
| INDEPENDENT CLAIMS | - 20 - 3 | _ | x 200.00 = | \$ | | | | | | |
| MULTIPLE DEPENDENT CI | | <u> </u> | + 360.00 = | \$ | | | | | | |
| | \$ | | | | | | | | | |
| Applicant claims small e reduced by ½. | \$ | | | | | | | | | |
| | | | SUBTOTAL = | \$ | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | | | | | |
| TOTAL NATIONAL FEE = \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ | | | | | | | | | | |
| accompanied by an appropri | | | | Ψ | | | | | | |
| | | TOTAL FE | ES ENCLOSED = | \$ | | | | | | |
| | | | Amount to be | | | | | | | |
| | | | ! | refunded: | \$ | | | | | |
| | | charged: | \$ | | | | | | | |
| a. Check No. in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0461</u>. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC | | | | | | | | | | |
| Customer Number: 25944 NAME: James A. Oliff REGISTRATION NUMBER: 27,075 | | | | | | | | | | |
| Date <u>December 19, 20</u> | Date <u>December 19, 2005</u> NAME: Jesse O. Collier REGISTRATION NUMBER: 53,839 | | | | | | | | | |
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